

## **Appendix 1**

### **Criteria for Referral to the Statutory Committee**

It is important to note that the following factors are indicative only, and the Registrar reserves the right to take into account additional factors, relevant to any particular allegation.

#### **Harm:**

1. Evidence of previous or existing actual harm to patients or the public
2. Evidence of previous or existing potential harm to patients or the public
3. Realistic risk of future harm to patients or the public
4. Subject of harm is a vulnerable patient/person

#### **Personal Health:**

5. Risk of self-harm
6. Recurrent or episodic conditions
7. Current medical supervision or treatment
8. Current addictive behaviour
9. Relapses into addictive behaviour
10. Underlying condition suffered by registrant which, although in remission, is capable of causing impairment of fitness to practise if it recurs
11. Failure to comply with drug/treatment regimes or medical supervision or support recommendations
12. Failure to comply with voluntary undertakings

#### **Personal Behaviour:**

13. Dishonesty
14. Sexual misconduct
15. Breach of confidentiality
16. Lack of insight
17. Breach of trust
18. Vulnerable patient or victim
19. Lack of respect for others

#### **Professional Practise:**

20. Registration status
21. Serious departure from accepted professional standards and guidelines

22. Breach of PSNI Code of Ethics or Guidance
23. Failure of supervision or control
24. Abuse of professional position
25. Exceeding appropriate professional boundaries
26. Disregard or breach of Inspectorate advice
27. Failure to maintain indemnity insurance
28. Excessive or irresponsible supply of medicines with potential for abuse
29. Failure to work effectively, or co-operate with other healthcare professionals
30. Lack of professional competence or judgement
31. Placing commercial interests above those of patients or public
32. Serious breach of controlled drugs legislation
33. Failure to comply with voluntary conditions imposed by the Registrar or the DHSSPS
34. Failure to respond to correspondence from the PSNI
35. Failure to take action in the public interest

**Pharmacy Profession:**

36. Bringing the profession into disrepute
37. Undermining public confidence in the profession
38. Deliberate disregard for the system of registration
39. Failure to co-operate with PSNI DHSSPS or other Investigation
40. Registration status

**Current Allegation:**

41. Attempt to cover up the facts
42. Attempt to impede/obstruct investigation

**Prior Allegations:**

43. Prosecutions, previous warnings, reprimands, sanctions, advice on same or similar matter in previous 5 years
44. Disregard or breach of advice given in relation to previous allegations
45. Failure to comply with undertakings in relation to prior allegations

**ADDITIONAL FACTORS WHICH MAY BE TAKEN INTO ACCOUNT**

It is important to note that the following factors are indicative only, and the society may reserve the right to take into account additional factors, relevant to any particular allegation.

- Age of the registrant
- Length of time since matters complained of
- Response of the registrant to the allegation